TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

In re application of:

Ian Davies

Thomas Wicklund

Serial No.:

NA

Filed:

Herewith

Docket:

CHAP.0104

For:

STORAGE CONTROLLER AND METHOD FOR PERFORMING HOST ACCESS CONTROL IN THE HOST INTERFACE ADAPTER

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that the Examiner review the information disclosed herein in detail, independently evaluate each item carefully in the consideration of the pending claims and return an initialed copy of each form to the undersigned.

This disclosure statement should not be construed as a representation that a search has been made, that no other material information as defined in 37 C.F.R. § 1.56(a) exists, or as an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b) or is available as a reference under 35 U.S.C. § 102 et seq. Applicant reserves the right to swear behind or otherwise disprove the allege "prior" nature of any art cited should the facts support and the situation warrant such an action.

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for some reason the examiner considers otherwise. it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each document is enclosed. Some of the documents may have markings thereon. significance is intended to be attached to the markings.

Respectfully submitted

James W.K. uffman Huffman Law Broup, Inc.

Registration No. 35,549 1832 N. Cascade Ave.

Colorado Springs, CO 80907

719.475.7103 719.623.0141 fax jim@huffmanlaw.net

Date:

"EXPRESS MAIL" mailing label number E0 001 358 528 U Date of Deposit 1-28-04 Date of Deposit 1-28-04 . I hereby certify that this paper is being deposited with the U.S. Postal Service Express Mail Post Office to Addressee Service under 37 C.F.R. §1.10 on the date shown above and is addressed to the U.S. Commissioner of Patents and Trademarks, Alexandria, VA 22313.

By: Under Section 1.

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| IN | FORMATION | DIS | CLOSURE | Application Number | NA | |
| | TATEMENT E | | | Filing Dat | Herewith Ian Davies | |
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| | (use as many she | ets as i | necessary | | Thomas Wicklund | |
| | | | | Group Art Unit | | |
| | | | | Examiner Name | | |
| Sheet | 1 | of | 2 | Attorney Docket Number | CHAP.0104 | |

| | U.S. PATENT DOCUMENTS | | | | | | | |
|----------|-----------------------|----------------------|--|---------------------------------------|------------------------------|---|--|--|
| Examiner | Cite | U.S. Patent Document | | Name of Patentee or Applicant | Date of Publication of | Pages, Columns, Lines, Where Relevant | | |
| Initials | No.1 | Number | Kind Code ² | of Cited Document | Cited Document MM-DD-YYYY | Passages or Relevant Figures Appear | | |
| | AA | 5634111 | | Oeda et al | 05/27/1997 ⁻ | | | |
| | AB | 6356979 | | Sicola et al | 03/12/2002 | | | |
| | AC | 6425035 | | Hoese et al | 07/23/2002 | , | | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | |
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| Examiner Initials | Cite No.1 | Foreign Patent Number ⁴ | Document Kind Code ⁵ | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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| 3 | I VI CIÁICIA I D | ,, ,,, | FLICANI | First Named Inventor | Ian Davies | |
| | (use as many she | ets as r | necessary | | Thomas Wicklund | |
| | | | | Group Art Unit | | |
| | | | | Examiner Name | | |
| Sheet 2 of 2 | | Attorney Docket Number | CHAP.0104 | | | |

| OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS | | | | | | | |
|---|--------------------------|---|----------------|--|--|--|--|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | | | | |
| | AA | "Security and Data Access Control with InfoSlice Storage Arrays"; obtained from http://www.technomagesinc.com/pdf/IS ACL config.pdf ; accessed on 11-29-2003. | | | | | |
| | AB | Phil Mills; "Enterprise Storage Server Fibre Channel Attachment Version 5.01"; December 15, 2000; Pages 1, 19-23; San Jose, CA, USA. | | | | | |
| | AC. | Gustavo Castets, Peter Crowhurst, Stephen Garraway, Guenter Rebmann; "IBM TotalStorage Enterprise Storage Server Model 800; obtained from http://publib-boulder.ibm.com/Redbooks.nsf/RedbookAbstracts/sg246424.html?Open ; Accessed 12-16-2003. Pages 1, 3-4,108-110, which are also document page numbers: cover, i, ii, 84-86. | | | | | |
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